



05-12-05

IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John Chen et al.

Confirmation No.: 9509

Serial No.: 10/764,405

Examiner: Unknown

Filing Date: January 23, 2004

Group Art Unit: 3731

Docket No.: 1001.1677101

Customer No.: 28075

For: CATHETER HAVING AN IMPROVED BALLOON-TO-CATHETER BOND

TRANSMITTAL SHEET

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certified that this paper or papers, as described herein are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV 314496971 US, in an envelope addressed to: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 11th day of May 2005.

By Kathleen L. Boekley
Kathleen L. Boekley

We are transmitting herewith the attached:

☐

Amendment

☐ No additional claim fee required

☐ The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
				SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 25 =	\$	X 50 =	\$
INDEPENDENT CLAIMS	-	=		X 100 =	\$	X 200 =	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+ 180 =	\$	+ 360 =	\$
TOTAL				\$		\$	

☐

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[] A check in the amount of \$_____ is enclosed. Itemization:

Fee Code _____ \$

Fee Code _____ \$

Fee Code _____ \$

[XX] Other: INFORMATION DISCLOSURE STATEMENT, CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449, AND 1 CITED FOREIGN REFERENCE.

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 
David M. Crompton, Reg. No. 36,772

David M. Crompton
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

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INFORMATION DISCLOSURE STATEMENT

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By Kathleen L. Boekley
Kathleen L. Boekley

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

John Chen et al.

By their Attorney,

David M. Crompton, Reg. No. 36,772
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

Date: 5/11/05



P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: John Chen et al. Confirmation No.: 9509
Serial No.: 10/764,405 Examiner: Unknown
Filing Date: January 23, 2004 Group Art Unit: 3731
Docket No.: 1001.1677101 Customer No.: 28075
For: CATHETER HAVING AN IMPROVED BALLOON-TO-CATHETER BOND

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

**CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT
(37 C.F.R. § 1.97(e)(1))**

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certified that this paper or papers, as described herein are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV 314496971 US, in an envelope addressed to: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 11th day of May 2005.

By Kathleen L. Boekley
Kathleen L. Boekley

Dear Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

CERTIFICATION

I, the person signing below, certify that each item of the information contained in the Information Disclosure Statement submitted herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement (37 C.F.R. § 1.97(e)(1)).

Further, I state that the aforementioned foreign communication was not received by any individual designated in § 1.56(c) more than thirty (30) days prior to the filing of the Information Disclosure Statement.

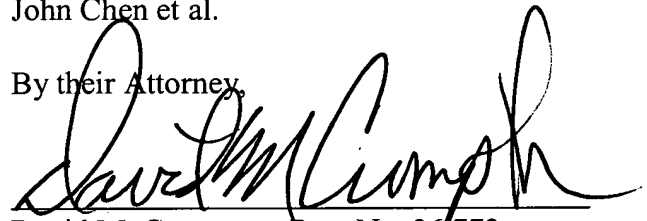
The person making this certification is a person who is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

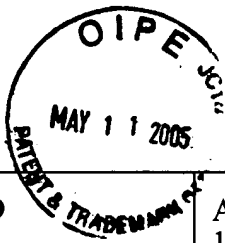
John Chen et al.

By their Attorney,

Date: 5/11/05

A handwritten signature in black ink, appearing to read "David M. Crompton", written over a horizontal line.

David M. Crompton, Reg. No. 36,772
CROMPTON, SEAGER & TURTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



FORM PTO-1449		Atty. Docket No.: 1001.1677101	Serial No.: 10/764,405
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: John Chen et al.	
		Confirmation No.: 9509	
		Filing Date: January 23, 2004	Group Art: 3731

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	2003/0138582 A1	07/24/2003	Miller et al.	
AB	6,164,166	12/26/2000	Samuelson et al.	
AC	6,217,547	04/17/2001	Lee	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation Yes No
AD	WO 03/053508 A1	07/03/2003	WIPO	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.